

# **FINAL INVESTIGATION REPORT OF**

# **SERIOUS INCIDENT TO M/S VISTARA**

# **AIRBUS A320 AIRCRAFT VT-TNH**

# **ON 15<sup>TH</sup> JULY 2019**

# GOVERNMENT OF INDIA MINISTRY OF CIVIL AVIATION AIRCRAFT ACCIDENT INVESTIGATION BUREAU

# FOREWORD

This document has been prepared based upon the evidences collected during the investigation and opinion obtained from the experts. The investigation has been carried out in accordance with Annex 13 to the convention on International Civil Aviation and under Rule 11 of Aircraft (Investigation of Accidents and Incidents), Rules 2017 of India. The investigation is conducted not to apportion blame or to assess individual or collective responsibility. The sole objective is to draw lessons from this serious incident which may help in preventing such incidents in future.

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| GLOSSARY |   |  |  |
|----------|---|--|--|
| AAIB     | Aircraft Accident Investigation Bureau, India |  |  |
| ALI      | Aligarh VOR                                   |  |  |
| ARC      | Airworthiness Review Certificate              |  |  |
| ATC      | Air Traffic Control                           |  |  |
| ATPL     | Airline Transport Pilot License               |  |  |
| AUW      | All Up Weight                                 |  |  |
| C of A   | Certificate of Airworthiness                  |  |  |
| C of R   | Certificate of Registration                   |  |  |
| CAR      | Civil Aviation Requirements                   |  |  |
| СММ      | Component Maintenance Manual                  |  |  |
| CPL      | Commercial Pilot License                      |  |  |
| DGCA     | Directorate General of Civil Aviation         |  |  |
| DME      | Distance Measuring Equipment                  |  |  |
| DPN      | Delhi VOR-DME                                 |  |  |
| EDTO     | Extended Diversion Time Operations            |  |  |
| EFOB     | Estimated Fuel on Board                       |  |  |
| FAF      | Final Approach Fix                            |  |  |
| F/O      | First Officer                                 |  |  |
| FCU      | Flight Control Unit                           |  |  |
| FMGC     | Flight Management Guidance Computer           |  |  |
| FOB      | Fuel on Board                                 |  |  |
| FRTOL    | Flight Radio Telephone Operators License      |  |  |
| hrs      | Hours   |  |  |
| IAP      | Instrument Approach Procedure                 |  |  |
| ΙΑΤΑ     | International Air Transport Association       |  |  |
| ICAO     | International Civil Aviation Organization     |  |  |
| ILS      | Instrument Landing System                     |  |  |
| IOCC     | Integrated Operations Control Centre          |  |  |
| LKN      | Lucknow VOR                                   |  |  |
| LLZ      | Localizer                                     |  |  |
| LRC      | Long Range Cruise                             |  |  |
| MAP      | Missed Approach Procedure                     |  |  |
| MDF      | Minimum Diversion Fuel                        |  |  |
| METARs   | METeorological Aerodrome Reports              |  |  |
| MLG      | Main Landing Gear                             |  |  |
| MTOW     | Maximum Take Off Weight                       |  |  |
| NDB      | Non-Directional Beacon                        |  |  |
| NLG      | Nose Landing Gear                             |  |  |
| OCC      | Operational Control Centre                    |  |  |
| PF       | Pilot Flying                                  |  |  |
| PM       | Pilot Monitoring                              |  |  |
| PIC      | Pilot in Command                              |  |  |
| SID      | Standard Instrument Departure                 |  |  |
| TSAL     | Tata Sia Airlines Limited                     |  |  |
| TSRA     | Terminal Radar Service Area                   |  |  |
| VEAB     | Pravagrai (Allahabad) Airport                 |  |  |
| VOR      | VHF Omnidirectional Range                     |  |  |
| UTC      | Coordinated Universal Time                    |  |  |
|          |   |  |  |

#### **1 FACTUAL INFORMATION**

#### 1.1 History of Flight

On 15.7.2019, Vistara Airbus A-320 aircraft VT-TNH was involved in a serious incident of landing below "fuel minima" at Lucknow (alternate) after declaring "May Day Fuel", while operating scheduled flight from Mumbai to Delhi. The aircraft was under command of an ATPL holder (PF) with a CPL holder as First Officer (PM). There were 155 passengers on board in addition to the 7 crew members.

The Operational Flight Plan (OFP) for the flight was generated at 0617 UTC. The Airlines has a system of remote briefing and as per the Transit Briefing Sheet provided to the crew, Ahmedabad (VAAH) was the 1<sup>st</sup> Alternate and Lucknow (VILK) was the 2<sup>nd</sup> Alternate (suitable company alternate). However on OFP provided to flight crew 1<sup>st</sup> Alternate was given as VILK (Suitable Alternate) and Second Alternate was Jaipur (Acceptable Alternate). Same was accepted by the PIC and as per the OFP "Additional Fuel" of 1001 kgs was taken for the flight with VILK as the alternate.

In the Transit Briefing Sheet, METAR of Delhi (0800 UTC), Lucknow (0800 UTC) and Ahmedabad (0600 UTC) was provided to the flight crew. In the same sheet, METAR for Amritsar (VIAR, 0800 UTC) was also provided. TAF of these stations was also available. METAR of Jaipur (VIJP) however was not available (Acceptable Alternate).

As per the ACARS report of the flight, engine start up was at 0927 UTC with 8500 kgs of fuel on board. The aircraft took off from Mumbai at 0948 UTC, with fuel on board as 8274 Kgs. The TAF for Delhi indicated Tempo TSRA at the time of expected arrival time of the flight into Delhi. Copy of OFP used for noting down the progress of flight indicated that the crew did not update themselves with the weather of en-route airports like VAAH, VAUD & VIJP. The flight was uneventful till top of descent into Delhi. On commencement of descent into VIDP, the flight crew observed weather over VIDP (TSRA with winds of 20 knots gusting to 30 knots) and was informed by ATC to expect delay at Delhi.

Descent was carried out by PM. The OFP indicated that the flight crew had updated themselves with weather of VILK of 1030 UTC & VIJP of 1100 UTC

and noted the ACARS weather of 1130 UTC for VILK & VIJP. IOCC had updated the flight crew with latest VIDP weather at 1010 UTC indicating visibility of 1300 m for Rwy 28 and 1000 m for Rwy 29. As per the OFP reverse calculations, this was around 01:12 mins before reaching overhead VIDP.

The latest weather at that time for VIJP & VILK was within minima. The crew discussed among themselves that the weather existed between VIDP & ALI VOR and decided that if required they will divert with a fuel of 500 kgs above MDF. The MDF was 2914 kgs as per OFP.

Delhi ATC informed the flight crew that they are 40 miles to touchdown and the aircraft was given heading 015°. Later ATC cleared the aircraft to intercept heading for ILS 29.

At approximately 250 feet on finals the winds started shifting with about more than 15 knots of tailwind component. Since runway 29 was wet and expecting tailwinds more than aircraft limitations, the crew decided to perform a missed approach(11:50 UTC). ATC was informed about the diversion to VILK with a request for vectors & further climb at 11:55 UTC (FOB 3.0T). At that time weather was existing between DPN & ALI VOR and the crew was aware of the weather. Weather deviation was carried out and the aircraft deviated by about 40 miles south of the route (Right of track).

After getting clear of weather, the crew asked for direct routing to LKN. The clearance was given once the path was clear of prohibited airspace near VIAG. The final climb given was to FL 270 followed by an early descent to FL210 due to traffic. The weather further deteriorated, once the aircraft was approaching FL210. The aircraft was number 3 in the arrival sequence. The flight crew informed ATC that they would not be able to take any delay due to diversion as it might lead to a low fuel situation. ATC informed about no delay for landing and the aircraft was cleared to fly heading 105 for vectors for ILS 27 from the south. As the aircraft was getting close to VILK, the weather became more significant. PM suggested to the PF to expedite arrival as the weather was approaching overhead the airport. The aircraft which was landing prior to the aircraft discontinued approach due to weather. VT-TNH commenced an approach for runway 27 ILS but at 17 miles to touchdown

tower passed on the RVR as 475m and later reduced to 275m. The flight crew discontinued the approach due to weather at 12:46 UTC.

After the aircraft discontinued the approach, Radar asked if there was intention to climb. The flight crew however delayed the climb so as to make approach as soon as the RVR improved. After about 5 minutes further climb was requested and the aircraft was cleared to climb to 4000 feet as there was traffic at higher levels. The aircraft awaited weather to improve and informed ATC about the depleting fuel reserves. Minimum fuel was declared when EFOB on the FMGC was 1.1 T at 12:42 UTC. When the EFOB became amber on MCDU "Mayday Fuel" (12:54 UTC) was declared though the latest runway 27 RVR was 275m.

The flight crew had asked ATC VILK to suggest closest available airport for landing. As per the PF, the first officer suggested Kanpur (VICX) which even though was not in the navigation database was suitable for A320. The crew requested diversion to VICX which was accorded by ATC (12:54 UTC). There was weather enroute VICX and as per the crew, the distance to go to VICX and VEAB was same but with no weather towards VEAB, the crew opted for Prayagraj and the same was approved by ATC at 1257 UTC. Immediately after that, Lucknow ATC informed that VILK RVR has improved to 600m in rain. After few seconds Lucknow ATC again informed that RVR was 1000m and improving with the weather moving away from the airport. At that time the aircraft was approximately 58 miles from VEAB and 37 miles from VILK and FOB was 500 kgs. Crew decided to return back to VILK. The ATC Lucknow was informed about the intention and the aircraft was cleared for shortest possible vectors for ILS 27 in VILK. Subsequent approach and landing was uneventful (13:18 UTC). Fuel on board at touchdown was 348 kgs.

|  | 1.2 | lnj | uries | to | Persons |
|--|-----|-----|-------|----|---------|
|--|-----|-----|-------|----|---------|

| Injuries Crew |     | Passengers | Others |
|---------------|-----|------------|--------|
| Fatal         | NIL | NIL        | NIL    |
| Serious       | NIL | NIL        | NIL    |
| Minor/ None   | 07  | 155        | NIL    |

# 1.3 Damage to Aircraft

Nil

1.4 Other Damage

Nil

# 1.5 Personnel Information

# 1.5.1 Pilot – In – Command

| Age                                    | 02.08.1989           |
|--|----------------------|
| License                                | ATPL                 |
| Date of Issue                          | 23.06.2011           |
| Valid up to                            | 22.06.2020           |
| Category                               | Aeroplane            |
| Date of Class I Med. Exam.             | 18.10.2018           |
| Class I Medical Validity               | 02.11.2019           |
| Date of issue FRTOL License            | 07.04.2008           |
| FRTO License Valid up to               | 06.04.2023           |
| Endorsements as PIC                    | Cessna 152,PA34,A320 |
| Total flying experience                | 8550                 |
| On type                                | 8278                 |
| during last 1 year                     | 783                  |
| during last 180 days                   | 323                  |
| during last 30 days                    | 43                   |
| during last 07 Days                    | 18                   |
| during last 24 Hours                   | 05:12                |
| Involved in Accident/ Incident earlier | No                   |

# Co-pilot (Pilot Monitoring)

| Age                         | 23.09.1990 |
|-----------------------------|------------|
| License                     | CPL        |
| Date of Issue               | 09.09.2014 |
| Valid up to                 | 08.09.2019 |
| Category                    | Aeroplane  |
| Date of Class I Med. Exam.  | 12.10.2018 |
| Class I Medical Validity    | 19.10.2019 |
| Date of issue FRTOL License | 09.09.2014 |
| FRTO License Valid up to    | 08.09.2019 |
| Endorsements as PIC         | DA40, DA42 |
| Total flying experience     | 1600       |
| On type                     | 398        |
| during last 1 year          | 410        |

| during last 180 days                   | 309   |
|--|-------|
| during last 30 days                    | 35    |
| during last 07 Days                    | 14    |
| during last 24 Hours                   | 05:12 |
| Involved in Accident/ Incident earlier | No    |

# 1.6 Aircraft Information

| :  | Airbus A320 251N                         |
|----|--|
| :  | 7980                                     |
| :  | 2018                                     |
| :  | M/s ARCU Aircraft Leasing Limited        |
| :  | Certificate # 4880, Validity 21.02.2030  |
| :  | Certificate # 6983, Issued on 27.02.2018 |
| :  | Normal                                   |
| :  | As per the Validity of ARC               |
| :  | 26.02.2019                               |
| :  | 27.02.2020                               |
| :  | 43320 Kg                                 |
| :  | 73500 Kg                                 |
| t: | 13.08.2018                               |
| :  | 43320 Kg                                 |
| :  | 18623 Kg                                 |
| :  | 9436 Kg                                  |
| :  | 18.851 meters aft of datum               |
| :  | 13.08.2023                               |
| :  | 5017:38                                  |
| :  | Not yet due on 22 Feb 2020               |
| :  | CFM LEAP 1A                              |
| :  | 04-Jul-2017                              |
| :  | 598314                                   |
| :  | 4031:05 / 2243                           |
| :  | 20-Dec-2017                              |
| :  | 598466                                   |
| :  | 4531:05 / 2361                           |
| :  | A-139/RLO (NR), Schedule # 018,          |
|    |  |

Validity:30.04.2023AD, SB, Modification:All complied

### 1.7 Meterological Information

The relevant METARs of Delhi, Ahmedabad, Amritsar, Jaipur, Lucknow & Udaipur are given below:-

## DELHI

VIDP 151000Z 27020G30KT 0500 R28/1300 R29/1000 SHRA= VIDP 151030Z 09005KT 1000 R28/P2000 R29/P2000 –TSRA= VIDP 151100Z 32008G18KT 2500 -RA SCT030 FEW040CB OVC080=

# AHMEDABAD

VAAH 150900Z 25011KT 4000 FEW020 SCT025 38/22 Q1001 BCMG 5000= VAAH 151030Z 25005G15KT 5000 FEW020 SCT025 39/21 Q1000 NOSIG= VAAH 151130Z 23015KT 5000 FU FEW020 SCT025 39/21 Q0999 NOSIG= VAAH 151200Z 23007G17KT 5000 FU FEW020 SCT025 39/21 Q0999 NOSIG=

VAAH151300Z 23005G15KT 5000 FU FEW020 SCT025 37/21 Q0999 BECMG 4000=

VAAH 151330Z 23015KT 4000 FU FEW020 SCT025 37/20 Q0999 NOSIG= VAAH 151400Z 23011KT 4000 FU FEW020 SCT025 36/20 Q0999 NOSIG=

# AMRITSAR

VIAR 151000Z 14006KT 3000 HZ SCT035 SCT100 30/24 Q0996 NOSIG= VIAR 151030Z 14006KT 2500 HZ SCT025 BKN090 30/25 Q0996 NOSIG= VIAR 151100Z 14006KT 2000 BR SCT025 SCT090 30/25 Q0996 NOSIG= VIAR 151130Z 15005KT 2000 BR SCT025 BKN090 29/25 Q0996 NOSIG= VIAR 151200Z 15004KT 2000 BR SCT025 BKN090 29/25 Q0996 NOSIG=

# JAIPUR

VIJP 150930Z 25009KT 3000 HZ SCT030 SCT100 34/20 Q0999 NOSIG= VIJP 151000Z 23009KT 3000 HZ SCT030 SCT100 35/20 Q0999 NOSIG= VIJP 151030Z 23010KT 3000 HZ SCT030 SCT100 34/21 Q0999 NOSIG= VIJP 151100Z 24010KT 3000 HZ SCT030 SCT100 35/21 Q0998 NOSIG= VIJP 151130Z 25010KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG= VIJP 151200Z 25008KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG= VIJP 151230Z 24009KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG= VIJP 151300Z 23010KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG= VIJP 151300Z 23007KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG=

#### LUCKNOW

VILK 151030Z 32003KT 5000 HZ SCT020 SCT025 BKN100 35/26 Q0997 NOSIG=

VILK 151100Z 06005KT 4000 HZ SCT020 FEW030CB BKN100 34/25 Q0996 NOSIG=

VILK 151130Z 05004KT 4000 HZ SCT020 FEW030CB BKN100 34/26 Q0996 NOSIG=

VILK 151230Z 05004KT 3500 HZ SCT020 FEW030CB BKN100 33/26 Q0997 TEMPO 1500 RA TSRA=

VILK 151251Z 31011KT 0800 R27/1000 TSRA BKN015 FEW030CB OVC090 27/26 Q1000 NOSIG=

VILK 151330Z 35010KT 2000 -TSRA BKN015 FEW030CB OVC090 24/21 Q0998 NOSIG=

VILK 151400Z 13005KT 2000 -TSRA BKN015 FEW030CB OVC090 24/22 Q0998 NOSIG=

#### UDAIPUR

VAUD 151000Z 26011KT 5000 HZ SCT025 SCT030 31/22 Q1002 NOSIG= VAUD 151030Z 23009KT 5000 HZ SCT025 SCT030 31/22 Q1002 NOSIG= VAUD 151100Z 24009KT 5000 HZ SCT025 SCT030 31/22 Q1002 NOSIG= VAUD 151130Z 26008KT 5000 HZ SCT025 SCT030 32/21 Q1001 NOSIG= VAUD 151200Z 25008KT 5000 HZ SCT025 SCT030 32/21 Q1001 NOSIG= VAUD 151230Z 20006KT 5000 HZ SCT025 SCT030 31/22 Q1001 NOSIG= VAUD 151300Z 23006KT 5000 HZ SCT025 SCT030 31/21 Q1001 NOSIG= VAUD 151300Z 24006KT 5000 HZ FEW025 SCT030 31/21 Q1001 NOSIG= VAUD 151300Z 24006KT 5000 HZ FEW025 SCT030 31/21 Q1001 NOSIG= Below is the METAR/TAF sheet given to the flight crew before departure: ava EBPTS referen CYS (Longed in in 1414544 India Meteorological Department METEOROLOGICAL WATCH OFFICE Home Flights Observation Forecast About **METAR/TAF** query Date: 2019-07-15 Time: 17:29:15 Query: VIDP VIAR VILK VIJP VAAH TAF/METAR Query TAF Query METAR METAR: METAR VIDP 151700Z 05006KT 4000 BR SCT100 26/24 Q1000 NOSIG= Long TAF: TAF VIDP 151700Z 1518/1624 07006KT 4000 BR SCT100 BECMG 1522/1603 29010625KT 1500 TSRA SCT035 FEW040CB BKN090 BECMG 1605/1607 1300BKT 3500 HZ -RA SCT035 BKN090 BECMG 1609/1615 2000 -TSRA SCT030 FEW040CB OVC080 Short TAF: TAF VIDP 151700Z 1518/1603 07006KT 4000 BR SCT100 BECMG 1522/1603 29010G25KT 1500 TSRA SCT035 FEW040CB BKN090= METAR: METAR VIAR 151700Z 14006KT 2500 BR SCT035 BKN100 28/26 Q0997 NOSIG= Long TAF: TAF VIAR 151700Z 1518/1624 15006KT 2800 BR -RA SCT030 BKN100 BECMG 1522/1603 1500 TSRA SCT030 FEN040CB OVC090 BECMG 1605/1607 09000KT 4000 HZ BECMG 1611/1616 2000 -TSRA SCT035 FEN040CB OVC090 BECMG 1620/1622 13006KT 3000 BR RA= Short TAF: TAF VIAR 151700Z 1518/1603 15006KT 2800 BR -RA SCT030 BKN100 BECMG 1522/1603 1500 TSRA SCT030 FEW040CB OVC090= METAR: METAR VILK 151700Z 31006KT 2500 -RA BKN018 FEW030CB 0VC090 25/25 Q1002 NOSIG= Long TAF: TAF VILK 151100Z 1512/1618 32003KT 5000 HZ SCT020 SCT025 BKN100 TEMPO 1512/1516 1500 -TSRA RA SCT020 FEW030CB OVC090 BECMG 1516/1518 29005KT 3000 HZ SCT020 SCT025 BKN100 BECMS 1604/1606 29008KT 4000 HZ SCT020 SCT025 BKN100 BECMS 1616/1618 27006KT 3000 HZ SCT020 SCT025 BKN100-Short TAF: TAF VILK 151700Z 1518/1603 33010KT 2500 -RA BKN018 FEW030CB OVC090 TEMPO 1522/1602 32012KT 1000 TSRA BKN018 FEW030CB OVC090= METAR: METAR VIJP 151700Z 22007KT 3000 HZ SCT030 SCT100 32/21 Q1001 NOSIG= Long TAF: TAF VIJP 151700Z 1518/1624 23008KT 3000 HZ FEW035 SCT100 BECNG 1664/1666 2708KT 4000 HZ SCT030 SCT100 BECNG 1613/1615 29010KT 3000 HZ TEMPO 1609/1615 23012KT 1500 -TSRA FEW035CB= Short TAF: TAF VIJP 151700Z 1518/1603 23008KT 3000 HZ FEW035 SCT100= METAR: METAR VAAH 151700Z 24008G18KT 4000 FU FEW020 33/22 Q1002 NOSIG= Long TAF: TAF VAAH 151700Z 1518/1624 23010KT 4000 FUHZ SCT020 BECMG 1601/1603 2500 HZ SCT020 SCT025 BECMG 1604/1606 25012KT 5000 FU BECMG 1612/1614 18012KT 4000 SCT020 BECMG 1619/1624 23010KT HZ SCT020-Short TAF: TAF VAAH 151700Z 1518/1603 23010KT 4000 FUHZ SCT020 BECMG 1601/1603 2500 HZ SCT020 SCT025= METAR: Long TAF: Short TAF:

TAF before departure:

VAAH 150200Z 1503/1512 2301 Kt 2500 Hz SCt 020 Sct 025 BECMG 1504/1506 23013 Kt 5000 FU.

VILK 150500Z 1506/1515 28008 kts 5000 Hz Few 020 Sct 025 Bkn 100 BECMG 1513/1515 VRB 02 4000 Hz Tempo 1509/1513 Few030 CB035

VIJP 150500Z 1506/1515 25010 Kt 3000 Hz BLDU Sct 035 Sct100 TEMPO 1509/1515 230/10 1500 –TSRA FewCb035 Cb BECMG 1513/1515 Vrb03 Kt 2000 Hz.

#### 1.8 Aids to Navigation

All the installed navigational Aids viz. Localiser, Glide Path, DME, DVOR were functional at Delhi, Mumbai & Lucknow. All Automation Systems, VHF channel and ATS surveillance system were reported to be working normal.

#### 1.9 Communication

Two way communication was always established between the aircraft and various ATC units at all the stations. The communication was clear and loud.

#### **1.10** Aerodrome Information

#### MUMBAI

The aircraft departed from Mumbai airport. The co-ordinates of ARP are 190530N, 0725158E. The Elevation of airport is 37 feet. The runways are 09/27 (3190 x 46 meters) and 14/32 (2871 x 45 meters). Weather information at Mumbai is provided by IMD round the clock. Online briefing of weather is also available.

#### DELHI

The aircraft destination was Delhi. The air traffic services at IGI airport are provided by AAI which includes Aerodrome Control service (ADC/SMC), Approach Control service (APP), Area Control Service (ACC), Terminal Approach Radar (TAR) and Route Surveillance Radar Service (RSR). Aerodrome has ARP at 283407N 0770644E. The airport is cleared for ILS CAT-III B landing.The 03 runways are 09/27, 10/28 & 11/29.

Weather information is provided by IMD round the clock. The weather radar provides all the updates such as cloud, rain, trend etc. The Metars are updated in ATIS for broadcasting every 30 mins. If there is a trend of weather or sudden change (less than 30 min) weather warning or a SPECI is issued.

Any sudden change in weather is also broadcasted by ATC, after which the flight crew (PIC) reassesses its minima and decides whether to hold, land or divert to alternate airport.

## LUCKNOW

Lucknow airport (IATA: LKO, ICAO: VILK) is an International Airport (ILS CAT-III-B compliant). ARP coordinates are 264543N 0805300E. It has Cat 7 firefighting facilities. Weather information is provided by IMD round the clock (ATS complex from 2330 to 1630 UTC). Weather information is broadcasted on ATIS all the time. There is only one runway 09/27 (2742 x 45 meters)

#### 1.11 Flight Recorders

|            | (TAKING | GO AROUND TIME AT DELHI AS 00:00)                    |
|------------|---------|--|
| Time       | From    | Transmission   |
| (Relative) |         |  |
| -00:21:44  | P1      | I think we have to divert I do not think we will be  |
|            |         | landing in next at least 25 minutes.                 |
| -00:13:32  | Radar   | 40 miles touchdown. Confirm acceptable.              |
| -00:12:59  | P1      | 40 miles is about 15 minutes to touch down.          |
| -00:12:50  | P1      | Will be very close. One Go Around and we will have   |
|            |         | very less fuel to divert.                            |
| -00:12:37  | P1      | Any way, we will just continue what he is making us  |
|            |         | do right now and let us see how it goes but I am not |
|            |         | landing at less than 3.5 tons over here.             |
| -00:11:29  | Radar   | Vistara 944, radar descendto FL 60                   |
| -00:11:24  | P1      | Alright fuel is coming to 3.5 tons                   |
| -00:10:49  | P2      | Approach phase activated                             |
| -00:03:31  | Tower   | Vistara 944, continue approach runway 29, wind       |
|            |         | 050/09 knots.  |
| -00:03:23  | P1      | We can expect strong tail winds at touchdown also.   |
| -00:03:20  | P1      | At anytime strong tailwinds then we will go around   |
| -00:03:17  | P1      | Runway looks really wet and probably contaminated    |
| -          |         | as well because of the weather                       |
| -00:01:13  | Tower   | Vistara 944, tower runway 29, clear to land, winds   |
|            |         | 060/10 knots   |
| 00:00:00   | P2      | Delhi tower Vistara 944, going around runway 29.     |
| 00:01:04   | P2      | Delhi Vistara 944, we are diverting to Lucknow now   |
| 00:03:04   | Radar   | Requesting reason for Go Around                      |
| 00:03:06   | P2      | Strong Tail winds at 200 feet Vistara 944            |
| 00:03:38   | Radar   | Roger climb to FL 110                                |
| 00:03:40   | P2      | Climb FL 110 Vistara 944                             |
| 00:03:44   | Tower   | Able direct A L I                                    |

#### CVR Tape Transcript

|   | 00:03:46         | P1     | Unable due weather, We can take heading 150  |
|---|------------------|--------|--|
|   | 00:08:00         | P2     | Delhi Vistara 944, taking heading 180 due weather  |
|   | 00:08:33         | P2     | Delhi Vistara 944, taking heading 190 due weather  |
|   | 00:10:35         | Radar  | Vistara 944, any interest for Jaipur, Sir Jaipur is  |
|   |                  |        | vacant   |
|   | 00:10:38         | P2     | Negative Sir, Lucknow is our alternate, Vistara 944  |
|   | 00:24:54         | P2     | Lucknow Vistara 944  |
|   | 00:24:56         | Radar  | Vistara 944, Lucknow Control   |
|   | 00:24:58         | P2     | FL 270 squak 1000, diverted flight from Lucknow  |
|   | 00:25:03         | Radar  | Confirm release by Delhi   |
|   | 00:25:05         | P2     | Negative Vistara 944, requesting runway news on arrival  |
|   | 00:31:38         | Radar  | Vistara 944, radar is terminated, frequency changed to Lucknow approved                        |
|   | 00:33:20         | P2     | Any delay expected, Vistara 944  |
|   | 00:33:23         | Radar  | No delay as of now   |
|   | 00:36:06         | P1     | Any change in weather  |
|   | 00:36:08         | P2     | No change in weather   |
|   | 00:45:17         | Radar  | Vistara 944. descend to FL 70  |
|   | 00:45:20         | P2     | Descend FL 70. Vistara 944   |
|   | 00:45:24         | P1     | V/S 500, altitude level 70 set. Once we are out of this  |
|   |                  |        | weather, then we will go for increasing the V/S after that                                     |
|   | 00:45:29         | P2     | OK Captain. Checked  |
|   | 00:45:33         | P1     | I want to stay as high as possible   |
|   | 00:45:34         | P2     | OK Check   |
|   | 00:45:54         | P1     | Seriously!!!   |
|   | 00:45:55         | P2     | Very heavy rain here   |
|   | 00:46:10         | P1     | Vistara 944, sir we are experiencing severe  |
|   |                  |        | turbulence, maintaining level as of now, Vistara 944   |
|   | 00:46:17         | P2     | You wish to maintain level?  |
|   | 00:46:19         | P1     | Sir, we are maintaining present level of 106 due   |
|   |                  |        | severe turbulence. We will be clear in about 5 miles,  |
|   |                  |        | Will descend after that Vistara 944  |
|   | 00:46:24         | Radar  | Copied Sir, copied   |
|   | 00:49:48         | Radar  | Vistara 944, radar, descendto 2500 ft., QNH 997<br>HPa. Confirm able to take right heading 070 |
|   | 00:50:00         | P2     | Affirm, Vistara 944, Right heading 070, descend 2500 ft. on QNH 997, Vistara 944               |
|   | 00:51:00         | P2     | QNH 997, passing 6100 now  |
|   | 00:51:12         | Go Air | Approach radar. Go Air 397, climbing 3500, Go  |
|   | 55.51.1 <b>E</b> |        | Around and maintaining runway heading  |
|   | 00:51:20         | P1     | Go Around? Engage autopilot 1  |
|   | 00:51:30         | Radar  | Vistara 944, as of right now wind is 300/32 knots  |
|   | 22.21.00         |        | Report your preference   |
|   | 00:51:37         | P2     | We will continue with the approach. Vistara 944  |
|   | 00:51:57         | <br>P1 | 32 knots?  |
|   | 00:52:08         | P1     | I think we should have taken a chance in Delhi   |
|   | 00:54:00         | Radar  | Vistara 944, as per tower RVR is 675 m. Confirm on   |
| - |                  |        |  |

|          |             | localizer?  |
|----------|-------------|---|
| 00:54:08 | P2          | Affirm on localizer, Vistara 944. Standby for mimima  |
| 00:54:53 | Tower       | Vistara 944, visibility as per MET office is 800 m and  |
| 00.55.00 | D1          | Den't respond don't respond. Cabin grow landing   |
| 00.55.00 |             | stations  |
| 00:55:06 | Tower       | Vistara 944, latest RVR touchdown 450 m   |
| 00:55:14 | P2          | Roger, Discontinuing approach Sir, Vistara 944  |
| 00:56:07 | P1          | Vistara 944, we are minimum fuel now. We need   |
|          |             | priority for landing. Otherwise we will be touhing our  |
|          |             | minimum reserve fuel and heading turning as of now  |
|          |             | 020 due weather, Vistara 944. Please advise as soon   |
| 00.50.40 |             | as the RVR is above 600 m.  |
| 00:59:19 | P1<br>Dedau | Vistara 944, any weather improvement sir?   |
| 00:59:20 | Radar       | As per tower, current RVR is 275 m and 650 - 650 sir.   |
| 01:01:05 | P2          | Can we know the runway length at Kanpur airport   |
|          |             | and also do we have option of diverting to Kanpur in  |
| 01.01.15 | Radar       | Sir we will check with Kappur. At present Lucknow   |
| 01.01.10 | Rauai       | touchdown RVR is 250 m mid RVR is 1200 m end  |
|          |             | RVR is 1800 m   |
| 01:03:15 | P1          | Sir. latest RVR available?  |
| 01:03:17 | Radar       | Latest RVR 225 m, 2000 m and 2000 m   |
| 01:03:21 | P1          | Sir. Vistara 944. May Day May Day May Day Fuel.   |
|          |             | We need an airport to land sir immediately, Vistara   |
|          |             | 944   |
| 01:03:33 | Radar       | Vistara 944, radar, confirm May Day?  |
| 01:03:37 | P1          | Sir, we need to land somewhere immediately.   |
|          |             | Lucknow is well below minima. Please give us an   |
|          |             | airport   |
| 01:03:44 | Radar       | As per them, Kanpur is available. Proceed direct to   |
|          |             | correction, turn left heading 240 and proceed direct to   |
| 01.04.00 | <b>D</b> 0  | Kanpur<br>May Day Vistore, we are diverting to Allahahad air  |
| 01:04:29 | PZ          | May Day, Visiara, we are diverting to Alianabad Sir,<br>Kappur is upable due weather sir. It will take us right |
|          |             | through weather   |
| 01.04.39 | Radar       | Confirm wish to proceed direct to Pravagrai   |
| 01.01.00 | radar       | Allahabad?  |
| 01:04:42 | P2          | Affirm, Affirm, Vistara 944   |
| 01:05:07 | P2          | May Day, Vistara 944, requesting further climb.   |
| 01:05:17 | Radar       | Vistara 944, radar, but Chakeri is 53 miles from  |
|          |             | present position. Confirm still wish to proceed   |
|          |             | towards Prayagraj   |
| 01:05:24 | P1          | Sir, there is lot of weather enroute to Kanpur. We will   |
|          |             | have to circumnavigate a lot. That will increase the  |
|          |             | track miles much more than the Prayagraj.   |
| 01:05:34 | Radar       | Climb to FL 60 initially  |
| 01:10:57 | Radar       | Vistara 944, radar, now visibility has improved at  |
|          |             | Luknow, visibility is 600 m   |

| 01:11:35 | Radar | Vistara 944, radar visibility has improved, visibility is<br>800 – 1000 m now. And if you wish, you may turn<br>back for Lucknow |
|----------|-------|--|
| 01:11:39 | P2    | Lucknow, May day Vistara 944, we are turning back<br>to Lucknow. As per the previous controller we are<br>changed over to you.   |
| 01:27:29 | P2    | Any rain over the airfield sir (UTC time 13:15:13)   |
| 01:29:25 | P1    | FOB at landing 260 kg  |

#### 1.12 Wreckage and Impact Information

Not Applicable

#### 1.13 Medical & Pathological Information

The crew had undergone pre-flight medical prior to the flight and was satisfactory. Breath analysis test was negative for both the cockpit crew.

#### 1.14 Fire

There was no fire.

# 1.15 Survival Aspects

Incident was survivable

1.16 Test & Research

Nil

#### 1.17 Organisation & Management Information

The aircraft was operated by a Scheduled Airlines.

#### 1.17.1 Airlines Fuel Policy& Operational Requirement

#### **Fuel Policy**

As per the Fuel Policy of the operator contained in Operations Manual Part 'A', Reserve Fuel is the sum of Alternate fuel, Final Reserve Fuel / alternate holding Fuel and the Contingency Fuel (If not consumed). These three are defined as follows:

- Alternate Fuel is the fuel required to fly from Destination to an Alternate Airport and is based on forecast winds, temperatures and the appropriate gross weight, for the following phases of flight:
  - i. A missed approach from the applicable minima at the destination aerodrome to missed approach altitude, taking into account the complete missed approach procedure.

- Climb from missed approach point to planned cruise level / altitude. Fuel figure of 100 kg is catered for Go-Around. In addition, departure track is based on the expected runway in use and the appropriate SID.
- iii. Cruise at LRC.
- iv. Descent from final cruise altitude to the point where the approach is initiated, taking into account the expected arrival procedure.
- v. Approach from end of descent to touchdown. A fuel figure of 120 kg and 240 kg is included for approach and landing for A320 variants and B737-800 versions respectively.

There is a Note as follows:

"For flights that require a second destination alternate, the destination alternate fuel required in accordance with TSAL fuel policy shall be the amount of fuel, as calculated, that enables the aircraft to proceed to the destination alternate airport requiring the greater amount of fuel."

Final reserve fuel (alternate holding fuel)

The fuel required (flight planning stage) to hold at 1500 ft above airport elevation in standard conditions with flaps up for 30 minutes at Holding speed for the appropriate gross weight, at the destination alternate airport. The operator has determined a fixed final reserve fuel value of 1150 kgs.

Contingency Fuel is to cater for deviations from planned operations and shall not be less than 200 kgs. The contingency fuel displayed on the OFP is the fuel that shall remain till the end of the flight barring any deviations from planned operations.

Additional Fuel

A supplementary amount of fuel required if the minimum fuel calculated in is not sufficient to:

- Allow the aeroplane to descend as necessary and proceed to an alternate aerodrome in the event of engine failure or loss of pressurization, whichever requires the greater amount of fuel based on the assumption that such a failure occurs at the most critical point along the route;
  - Fly for 15 minutes at holding speed at 450 m (1500 ft) above aerodrome elevation in standard conditions; and

- Make an approach and landing;
- > Meet additional requirements not covered above;

Discretionary fuel is extra amount of fuel to be carried at the discretion of the Pilot in Command.

#### **Operational Requirement**

In the same chapter of Operations Manual, there is a heading Operational Requirement which mentions that a flight shall not be commenced unless, taking into account both the meteorological conditions and any delays that are expected in flight, the airplane carries sufficient fuel and oil to ensure that it can safely complete the flight and shall take into account:

- Anticipated meteorological conditions
- An IAP at the destination followed by a MAP
- Weights
- Routings
- Delays
- ATS procedures
- The procedures prescribed in the operations manual for loss of pressurization, where applicable, or failure of one engine while en-route; and
- Any other conditions that may delay the landing of the airplane or increase fuel and/ or oil consumption.

It is mentioned that the final authority and responsibility for fuel loads and the fuel management in flight is with the Pilot in command and he shall ensure that the amount of usable fuel remaining in flight is not less than the fuel required to proceed to an aerodrome where a safe landing can be made, with final reserve fuel remaining. The fuel for a flight is calculated on the Operational flight Plan (OFP), and it assumes the following:

- A. ATC flight plan routing and flight levels can be expected to be achieved.
- B. Delays due to traffic or special activity are not expected, unless published. The final decision regarding the total amount of fuel to be carried rests with the pilot in command.

It is also mentioned that the alternate aerodrome considered is usually the nearest, and may not always be the most appropriate. The standard flight fuel planning consists of:

- a) Taxi fuel;
- b) Trip fuel;
- c) Contingency fuel 5%
- d) Alternate fuel (Higher of the two alternates);
- e) Final reserve fuel; and

Additional fuel is a supplementary amount of fuel which may be required when the minimum fuel calculated may not be sufficient to cater for circumstances for a given flight.

Chapter 16 of the Operations Manual contains the following comment regarding RPL (R15):

"Reflects the ICAO ATC flight plan as generated on completion of the OFP between the City pair. The same **may** also be filed with the concerned ATS unit".

# 1.17.2 Flight Dispatch Desk (Flight Operations)

The relevant job functions of the Flight Dispatch Desk (Flight Operations) covered in The Integrated Operations Control Centre (IOCC) Manual of the Operator are:

- The Flight Dispatch Desk has the specialist on flight planning/dispatching matters and provides flight planning input to decisions made in VISTARA OCC. He reports to the MOC (Manager Operations Control) and is responsible for:
  - Assisting the Ops Controller(s) in flight planning efforts during flight diversions.
  - Shall support, brief and assist the PIC regarding risk tolerability with respect to safe conduct of each flight in the TSAL network.
  - Assist the PIC in flight preparation and providing required information.
  - Shall be responsible for preparation of the Operational and ATS flight plan and any amendment as required by PIC
  - Providing the PIC, while in flight, with appropriate information that may be necessary for the safe conduct of the flight

• Shall analyse the Weather, NOTAM's and Performance restrictions for the flights assigned to him



- Shall maintain a weather watch on the flights assigned to him till the completion of the flight
- Shall monitor the conditions on the route and keep the PIC informed of any adverse developments which may necessitate the aircraft to return to origin or land safely at the closest airfield
- In the event of an emergency shall:
  - Initiate such procedures as outlined in the TSAL operations manual while avoiding taking any action that would conflict with ATC procedures;
  - ii. Notify IOCC and TSAL Flight Operations Management at the earliest, of the nature of the situation.
  - iii. Request assistance if required.
  - iv. Convey safety-related information to the pilot-in-command that may be necessary for the safe conduct of the flight, including information related to any amendments to the fight plan that become necessary in the course of the flight;
  - v. Initiate action as per TSAL Operations Manual / Flight Safety Manual and Emergency Response Procedures Manual. This will include information about dangerous good from NOTOC which may be required during Aircraft accident and incident to facilitate emergency response
- Shall keep the Shift in charge abreast of all developments in the shift
- Shall alert all internal stakeholders of any local aerodrome weather warnings
- Furnish the pilot-in-command with all latest available information on the route to be flown

## 1.17.3 Fuel Planning – Flight Dispatch Circular of 8<sup>th</sup> July 2019

| No. | FLIGHT DISPATCH<br>CIRCULAR   |  |  |  |  |  |  |
|-----|---|--|--|--|--|--|--|
| то  | FLIGHT DISPATCH STAFF FROM HEAD OPERATIONS CONTROL  |  |  |  |  |  |  |
| FLE | ar Ø A320 Ø B737 □ B787   |  |  |  |  |  |  |
| SU  | BJECT FUEL PLANNING FOR FLIGHTS.  |  |  |  |  |  |  |
| RE  | ASON FOR ISSUE TO REITERATE THE PROCESS OF FUEL PLANNING  |  |  |  |  |  |  |
| 1.  | BACKGROUND  |  |  |  |  |  |  |
|     | In the recent past there have been number of episodes wherein the operating crew<br>have declared MAYDAY FUEL for seeking priority in landing. The same have been<br>attributed to adverse weather conditions or due to aerodrome limitations. In few cases<br>after landing, the fuel available was less than the planned final reserve fuel.                            |  |  |  |  |  |  |
|     | Further investigation of the above episodes revealed that the deficiencies were due<br>fuel planning, crew decision making, and support provided by the ATC.  |  |  |  |  |  |  |
|     | Some deficiencies that came to light, were as follows:  |  |  |  |  |  |  |
|     | a. Fuel carried on-board did not cater for second alternate.  |  |  |  |  |  |  |
|     | b. During flight, crew while deciding the alternate for diversion have not<br>considered the nearest safe landing airport.  |  |  |  |  |  |  |
|     | c. Crew did not declare MINIMUM FUEL to ATC.  |  |  |  |  |  |  |
|     | <ul> <li>After a crew has declared a MINIMUM FUEL, ATC has given additional<br/>clearances resulting in further depletion of fuel.</li> </ul>   |  |  |  |  |  |  |
| 2.  | Fuel Planning   |  |  |  |  |  |  |
|     | DGCA CAR Section 8 Series O Part II prescribes the minimum fuel requirements for the operation of an aircraft. During adverse weather conditions or aerodrome limitations conditions, second destination alternate shall be factored at the flight planning stage. Para 4.3.6 (d) (2) regarding selection of second destination alternate, the requirement is as follows: |  |  |  |  |  |  |
|     | "where two destination alternate aerodromes are required, the amount of fuel, as<br>calculated in 4.3.6.3 d) 1), required to enable the aeroplane to proceed to the<br>destination alternate aerodrome which requires the greater amount of alternate fuel;"  |  |  |  |  |  |  |
| 3.  | Transit Flights   |  |  |  |  |  |  |
|     | Flight dispatch must update the Tech Crew during transit flight halts regarding weather<br>conditions at destination and alternates. Use of electronic briefing folder may also be<br>considered for providing data to the crew including ACARS.  |  |  |  |  |  |  |
| Aut | thority: SVP Flight Operations Division, Tata SIA Airlines Ltd Page 1 of 2  |  |  |  |  |  |  |

In view of recent occurrences (Out of these, occurrence of 4<sup>th</sup> July was also declared as serious incident and investigated by AAIB), wherein the flight crew has declared MAY DAY FUEL and landed with the remaining fuel less than the required quantity, the operator had issued Flight Dispatch Circular on 8<sup>th</sup> July 2019. The occurrence of 4<sup>th</sup> July 2019, prompted the issuance of this circular. There was however no mention of duties and responsibilities of operations department particularly regarding providing timely information to the flight crew in-flight. The said circular was only a reiteration of the existing policy in the company.

#### 1.17.4 Alternate Airport

For handling of diversions, alternate airports are defined by the operator as follows:

<u>Suitable Alternate</u> – An online station for Vistara or a station where specific ground handling arrangements are made to handle a diverted flight. (e.g VILK for VIDP)

<u>Acceptable Alternate</u> - A planned acceptable Airfield close to the destination meeting the requirements of the type operated. The purpose of the acceptable alternate is to reduce the sector fuel required on days of fair weather at destination. It is not recommended to divert to these airfields unless safety is a consideration. (e.g VIJP for VIDP).

**Enroute Alternate** - An airfield meeting type specific performance requirement applicable at the expected landing weight and at the expected time of use. The airport will be available, equipped with the necessary ancillary services, such as ATS, lighting, communications, weather reporting, nav-aids and emergency services; and has at least one approach aid available for an instrument approach.

Flight Dispatch and IOCC can provide time sensitive information and other data for consideration, but the decision rests solely with the Pilot in Command from the moment doors are closed for the purpose of flight until they are re-opened after parking.

Suitable Alternate shall be planned by dispatch when weather is a factor at Destination and Acceptable Alternate can be planned when weather is not a factor at Destination.

For en-route alternate planning, the flight crew shall consider the situation requiring a diversion and requiring a landing as soon as possible, any available alternate can be considered. For unforeseen delays in landing at destination, the flight crew can consider changing a Suitable Alternate to Acceptable Alternate.

#### 1.18 Additional Information

#### 1.18.1 DGCA CAR SECTION 8, SERIES O PART II

DGCA has issued CAR section 8 Series O Part II on "Operation of Commercial Air Transport – Aeroplanes", which also covers "Fuel Requirements". The relevant extract of the above said CAR is reproduced below (paragraph numbers are of CAR): -

#### 4.3.6 Fuel requirements

- 4.3.6.1 An aeroplane shall carry a sufficient amount of usable fuel, to complete the planned flight safely and to allow for deviations from the planned operation.
- 4.3.6.2 The amount of usable fuel to be carried shall, as a minimum, be based on the following data:

a) ------

- b) The operating conditions for the planned flight including:
  - 1) Anticipated aeroplane mass;
  - 2) Notices to Airmen;
  - 3) Current meteorological reports or a combination of current reports and forecasts;
  - 4) Air traffic services procedures, restrictions and anticipated delays; and
  - 5) The effects of deferred maintenance items and/or configuration deviations.

4.3.6.3 The pre-flight calculation of usable fuel required shall include the following: -

- a. Taxi fuel, which shall be the amount of fuel expected to be consumed before takeoff; taking into account local conditions at the departure aerodrome and auxiliary power unit (APU) fuel consumption;
- b. Trip fuel, which shall be the amount of fuel required to enable the aeroplane to fly from take-off or the point of in-flight re-planning until landing at the destination aerodrome taking into account the operating conditions of 4.3.6.2 b);
- c. Contingency fuel, which shall be the amount of fuel required to compensate for unforeseen factors. It shall be 5 per cent of the planned trip fuel or of the fuel required from the point of inflight re-planning based on the consumption rate used to plan the trip fuel but in any case shall not be lower than the amount required to fly for five minutes at holding speed at 450 m (1 500 ft) above the destination aerodrome in standard conditions;
- Note Unforeseen factors are those which could have an influence on the fuel consumption to the destination aerodrome, such as deviations of an individual aeroplane from the expected fuel consumption data, **deviations from forecast meteorological conditions, extended delays and deviations from planned routings and/or cruising levels.**

- d. Destination alternate fuel, which shall be:
  - 1) Where a destination alternate aerodrome is required, the amount of fuel required to enable the aeroplane to
    - a. Perform a missed approach at the destination aerodrome;
    - b. Climb to the expected cruising altitude;
    - c. Fly the expected routing;
    - d. Descend to the point where the expected approach is initiated; and
    - e. Conduct the approach and landing at the destination alternate aerodrome; or
  - where two destination alternate aerodromes are required, the amount of fuel, as calculated in 4.3.6.3 d) 1), required to enable the aeroplane to proceed to the destination alternate aerodrome which requires the greater amount of alternate fuel; or
- e. Final reserve fuel, which shall be the amount of fuel calculated using the estimated mass on arrival at the destination alternate aerodrome or the destination aerodrome, when no destination alternate aerodrome is required:
  - 1) -----
  - for a turbine engine aeroplane, the amount of fuel required to fly for 30 minutes at holding speed at 450 m (1 500 ft) above aerodrome elevation in standard conditions;
- f. Additional fuel, which shall be the supplementary amount of fuel required if the minimum fuel calculated in accordance with 4.3.6.3 b), c), d) and e) is not sufficient to:
  - allow the aeroplane to descend as necessary and proceed to an alternate aerodrome in the event of engine failure or loss of pressurization, whichever requires the greater amount of fuel based on the assumption that such a failure occurs at the most critical point along the route;

i) Fly for 15 minutes at holding speed at 450 m (1 500 ft) above aerodrome elevation in standard conditions; and

ii) Make an approach and landing;

- allow an aeroplane engaged in EDTO to comply with the EDTO critical fuel scenario as established by DGCA;
- 3) Meet additional requirements not covered above;

- g. Discretionary fuel, which shall be the extra amount of fuel to be carried at the discretion of the pilot-in-command.
- 4.3.6.4 -----
- 4.3.6.5 A flight shall not commence unless the usable fuel on board meets the requirements in 4.3.6.3 a), b), c), d), e) and f) if required and shall not continue from the point of in-flight re planning unless the usable fuel on board meets the requirements in 4.3.6.3 b), c), d) e) and f) if required.
- 4.3.6.6 Notwithstanding the provisions in 4.3.6.3 a), b), c), d), and f); DGCA may, based on the results of a specific safety risk assessment conducted by the operator which demonstrates how an equivalent level of safety will be maintained, approve variations to the pre-flight fuel calculation of taxi fuel, trip fuel, contingency fuel, destination alternate fuel, and additional fuel. The specific safety risk assessment shall include at least the:
  - a) Flight fuel calculations;
  - b) Capabilities of the operator to include:
    - i) A data-driven method that includes a fuel consumption monitoring programme; and/or
    - ii) The advanced use of alternate aerodromes; and
  - c) Specific mitigation measures.
- 4.3.7 In-flight fuel management
- 4.3.7.1 -----
- 4.3.7.2 The pilot-in-command shall continually ensure that the amount of usable fuel remaining on board is not less than the fuel required to proceed to an aerodrome where a safe landing can be made with the planned final reserve fuel remaining upon landing.
- 4.3.7.2.1 The pilot-in-command shall request delay information from ATC when unanticipated circumstances may result in landing at the destination aerodrome with less than the final reserve fuel plus any fuel required to proceed to an alternate aerodrome or the fuel required to operate to an isolated aerodrome.
- 4.3.7.2.2 The pilot-in-command shall advise ATC of a minimum fuel state by declaring MINIMUM FUEL when, having committed to land at a specific aerodrome, the

pilot calculates that any change to the existing clearance to that aerodrome may result in landing with less than planned final reserve fuel.

4.3.7.2.3 The pilot-in-command shall declare a situation of fuel emergency by broadcasting MAYDAY MAYDAY MAYDAY FUEL, when the calculated usable fuel predicted to be available upon landing at the nearest aerodrome where a safe landing can be made is less than the planned final reserve fuel.

#### 1.18.2 Fuel Planning for the flights – DGCA Air Safety Circular (ASC) 03 of 2019

DGCA, has issued an Air Safety Circular considering increasing number of incidents wherein the operating crew have declared MAYDAY FUEL for seeking priority in landing due to adverse weather conditions or due to aerodrome limitations. The deficiencies in fuel planning, crew decision making and support provided by the ATC mentioned in the ASC are

- **4** Fuel carried on-board did not cater for second alternate
- During flight, crew while deciding the alternate for diversion have not considered the nearest safe landing airport.
- Crew did not declare MINIMUM FUEL to ATC.
- After a crew has declared a MINIMUM FUEL, ATC has given additional clearances resulting in further depletion of fuel.

The ASC refers to the CAR Section 8 Series O Part II which prescribes minimum fuel requirements for the operation of aircraft and mentions the following:

During adverse weather conditions or aerodrome limitations conditions, second destination alternate should also be factored during the flight planning stage. Para 4.3.6 (d) (2) regarding selection of second destination alternate inter alia requires as follows: "where two destination alternate aerodromes are required, the amount of fuel, as calculated in 4.3.6.3 d) 1), required to enable the aeroplane to proceed to the destination alternate aerodrome which requires the greater amount of alternate fuel;"

It further reiterates that Flight dispatch should update the crew during transit halts regarding weather conditions at destination and alternates. Use of electronic briefing folder may also be considered for providing data to the crew.

For Air Traffic Control Units, the action suggested is "whenever a crew declares MINIMUM FUEL to the ATC, it means that all planned aerodrome options have been reduced to a specific aerodrome of intended landing and any change to the existing clearance may result in landing with less than planned final reserve fuel. This is not

an emergency situation but an indication that an emergency situation is possible, should any additional delay occur. The ATC should facilitate the early landing for the aircraft. The above instructions are for strict compliance by all the aircraft operators and ATC."

# 1.18.3 Transit Briefing Sheet



# **TRANSIT BRIEFING SHEET**

5889

| NAME OF<br>STATION: | MUMBAI    |         |         |             |        |
|---------------------|-----------|---------|---------|-------------|--------|
| DATE:               | tstatig " |         |         | DAY:        | MON    |
| FLIGHT<br>NO.:      | UKANY     | SECTOR: | BOM-DEL | A/C<br>REG: | VT-7NH |
| ETD:                | 14.40     | ADC:    | Maza    | FIC:        | 0825   |

|               | Station  | Time of<br>Observation |  | METAR Information     |              |  |  |
|---------------|----------|------------------------|--|-----------------------|--------------|--|--|
| Departure     | VABB     | 0800(2)                | 24011 G21 KT 3000 HZ SCT018 SC1025 BKN09<br>31/26 Q1006 NOSIG                    |                       |              |  |  |
| Destination   | VIDP     | 0800(2)                | 24008 KT 3000 HZ SCITTS FEWOLIOLB BKN<br>36/23 Q0998 TEMPO 290206 30KT 1500 TSRA |                       |              |  |  |
| Alternate - 1 | HAAV     | 0600(z)                | 22014KT 4000 FU FEWOLD SCHOLS 34/22<br>8/1003 Nosig                              |                       |              |  |  |
| Alternate - 2 | VILK     | 0800(2)                | 26004KT SUID HZ SCHOLD SCHOLS BENIOU 34<br>Q0998 NOSIG                           |                       |              |  |  |
| -             | VIAR     | 2800(2)                | 15006  | k1 3500 H2 SCT040 SCI | 00 30/24 Nog |  |  |
| EFZW:         | 58400    | Kgs                    |  | TRIP FUEL:            | 4006 Kgs     |  |  |
| RTOW:         | 70306    | Kgs                    |  | SECTOR FUEL:          | 7420 Kgs     |  |  |
| No. of PAX:   | 6/14/132 | : 152                  |  | TOTAL FUEL PLANNED:   | 8421 Kes     |  |  |
| CARGO         | 465      | Kgs                    |  | DISCRETIONARY FUEL:   | Kgs          |  |  |
| No. of CREW:  | 02/0     | 5                      |  | DEPARTURE FUEL:       | 8500 Kes     |  |  |

#### 1.18.4 Computerised Flight Plan

Relevant pages of the Computerised Flight Plan (CFP) with NB of the flight crew are given below:

BUGCARD TAKE OFF DATA CG/2PHI 31-4, 58-2 IDRY/WET 14 14 14 INPY 1 16 848 14 14 TLSS 29 FOB: 5560 RESIFLAPS | 14 F | 15 INTENDI 250/15 CELATON: 29.6.4 1 VI 1130 123 1VI 1300 MWX HZ 34 37 1 V2 11371137 TEMP 31 127 TRIMI D. BUP 561 GRN DOT MCDU 218/EFE 248 | FLEX | 50 | 48 | LINKA ISHIPT I 46 Som ICHIN I Q 1006 TH ALT THPES LEW 4 N KI NSG. 40 S.W.M. 4000' DWY14E 127.9 >ETEIO RW114 /// NAVIGATION LOG \\\ POSH FREQ ACTH ITH ATA AFL ETA DAT ADD AMIND TAS VWS IFRG AFRM COORD MORA DIS FYL RETA 1MT FON FWIND GSP DRM FFRM DIFF AWY CHOCKS OFT Q.92.7 FUEL IN TANKS 000,4 520 TAKE 0.934 DEP RAY . 14. T/OFT 0.948 TAKE FUEL 0.3 8200 0.1 ... BBB1C BBB 116.60 00.03 002 ... ... 57 M44 ... .... N1905.2 E07252.5 0012 CLB .... 316 P13 27018 0.1 ... BBB1C 00.07 004 ... ... 55 M41 ... 53.4 0022 CL8 ... 003 P16 27015 0.2 ... XOPAL N1927,7 E07253.4 BBB1C 0.4 ... BBB1C 00.18 006 ... D4 M38 56.8 0041 CLB ... 007 P19 24004 11070 0.4 ... N2045.5 807256.8 BBB1C 0545 006.7 . 100 100.22 004 10 290.10 мэн 121 07-02 157.7 0025 СLB ... 002 F19 17005 DOBTO N2111.0 E07257.7 01 TOC 00,27 005 07 2.80. 5 May 100 1010/405 00 0.3 6520 01 EGDOU 00.36 009 ... ... 24 M38 ... 464 03 0.3 ... N2247.5 E07335.3 048 0070 350 ... 020 P16 10004 464 0443 006.0 ... 01 GONTI 00.46 010 33 31034 NAT 1193550464 02 0.4 5300 N2401.7 E07404.8 058 0079 350 ... 020 P17 28003 465 0364 005.7 01 15/07/2019 06:38 Page 8 of 82 ARIS eBriefing





1.19 Useful or Effective Investigation Techniques

Nil

## 1 ANALYSIS

### 2.1 General

- Both operating crew were appropriately licensed and qualified to operate the flight.
- The aircraft had a valid Certificate of Airworthiness at the time of incident.
- The Aircraft held valid Certificate of Release to Service which was issued at the airport of departure.
- Airworthiness DGCA Directives. Service Bulletins, Mandatory Modifications had been complied with. Transit inspections were carried out as per the approved transit inspection schedules and all higher inspection schedules including checks/inspection as per the manufacturer's guidelines as specified in Maintenance Programme and approved by the Quality Manager.

For purpose of discussion in the following paragraphs, an alternate is required when it becomes either impossible or inadvisable to proceed to or land at the aerodrome of intended landing as per the definition(s) given by the operator of these aerodromes. This was the case of subject flight. While the investigation reviewed the scenario in which the said occurrence took place, the investigation also analysed the current regulations and instructions given to implement these regulations. Further it has also been analysed that how tacit following of these instructions/ procedures might have precipitated into an unsafe situation of fuel emergency or be a contributory factor to the occurrence.

### 2.2 Fuel Policy & Procedures

In today's aviation scenario, maximum cost in operations is of fuel. Flight operations procedures of the operators are therefore based on reducing the overall fuel consumption and thereby the fuel cost. Across the Airlines, these fuel saving measures are covered in the training and through the issuance of SOPs. It is expected that flight crew would follow procedures which reduce fuel uplifted and fuel used. The scenario does not rule out action against flight crew if it is observed that he has carried extra fuel without justification. More or less the flight crew feels the pressure when it comes to carrying extra fuel.

Careful perusal of the company Fuel Policy, CFP, OFP and the Transit Briefing Sheet was carried out. Although, fuel policy mentioned in the Operations Manual is in line with the DGCA CAR, however, practically the procedure followed during day to day operations of the operator becomes different.

**Vistara policy**: For handling of diversions, alternate airports are defined by the operator.

(1) Suitable Alternate is where specific ground handling arrangements are made to handle a diverted flight.

(2) Acceptable Alternate is a planned & acceptable airport close to the destination and is proposed to reduce the sector fuel required on days of fair weather at destination. <u>It is not recommended to divert to these airports</u> <u>unless safety is a consideration.</u> Such a sentence in an important document creates subjectivity in the mind of the flight crew and increases the probability of erring on an unsafe side.

Further the policy mentions that in case of emergency, the "Flight Dispatch and IOCC can provide" but it is not mandatory on the part of Flight Dispatch/ IOCC to provide time sensitive information and other data for consideration by the flight crew. Though there is no doubt and it is well established that the final decision rests solely with the Pilot in Command

Further the policy intends that Suitable Alternate shall be planned by dispatch when weather is a factor at Destination and <u>Acceptable Alternate can be</u> planned when weather is not a factor at Destination.

The definitions of alternates, therefore create confusion in the mind of flight crew, while taking a decision on where to divert, particularly when the clause of saving fuel is deeply imbibed in the mind of the flight crew these days. The decision making during a high stress situation (weather, destination/ alternate airport not available) may result in unsafe situations. Further with no mandate for providing time sensitive information by the IOCC, the flight crew is overloaded in case of real emergency or a high stress situation as was the present case.

## 2.3 Circumstances leading to the incident

Flight crew was briefed at Mumbai before departure for VIDP with VAAH as the 1<sup>st</sup> Alternate and VILK (Suitable Company Alternate) as the Second Alternate. However on OFP provided to flight crew 1<sup>st</sup> Alternate was VILK (Suitable Alternate) and Second Alternate was VIJP (Acceptable Alternate). Same was accepted by the PIC. As the Company OFP carried an "Additional Fuel" of 1001 kgs, Flight crew was aware that this additional fuel will cater for VAAH as the alternate for legal purpose. However if VAAH was to be the alternate, then there was no "Holding Fuel" over VIDP.

The flight crew and company knew that in case of an actual diversion, the flight crew will choose to divert to the company preferred alternate (Suitable alternate as VILK) as this would suit commercial purpose (where specific ground handling arrangements are made to handle a diverted flight). Therefore the "Transit Briefing Sheet" showed 1<sup>st</sup> alternate as Lucknow (VILK) and second alternate as Jaipur (VIJP).

It is pertinent to note that on the date/ time of the occurrence, Vistara had no operations to VIJP and hence the company knew that in case of diversion to VIJP there will be delay wrt to ground handling and passenger inconvenience as they had no ground handling agreement in place. This information is also in the mind of flight crew while taking a decision to divert.

VIJP is considered as an "Acceptable Alternate" wherein purpose is to reduce the sector fuel required on the day of fair weather at destination. <u>It is not</u> <u>recommended to divert to these airfields unless safety is a consideration (e.g.</u> <u>VIJP for VIDP).</u>



Satellite picture of 15<sup>th</sup> July 0900 UTC (Airborne time 0948 UTC)

The PIC had accepted the "Transit Briefing Sheet" at 0805 UTC for the flight from VABB to VIDP, wherein the 1<sup>st</sup> Alternate was Ahmedabad (VAAH) and the 2<sup>nd</sup> Alternate was Lucknow (VILK). Fuel required for the flight was 7420 kgs and the Fuel on Board was 8560 Kgs. Additional Fuel on board as per the Computerized Flight Plan was 1001 Kgs. Flight was planned to cruise at FL 350.

The FOB was just adequate for VAAH alternate (1<sup>st</sup> alternate) without any holding over destination for any delay but for VILK the aircraft had 1001 kgs extra fuel. However on closer scrutiny, the CFP indicated that the CFP had VILK as the 1<sup>st</sup> alternate and 2<sup>nd</sup> alternate was Jaipur (VIJP) which was different from the company "Transit Briefing Sheet". The Transit Briefing sheet mentioned Ahmedabad (VAAH) as the 1<sup>st</sup> alternate and Lucknow (VILK) as the 2<sup>nd</sup> alternate. The Transit Briefing Sheet also mentioned Amritsar (VIAR) just below the 2nd alternate row, however the CFP did not give the fuel required for diversion to VIAR.

The aircraft got airborne from Mumbai at 0948 UTC with 8215 Kgs of FOB. The flight from Mumbai, en-route and till the commencement of descend into Delhi was normal. On route to Delhi the flight had flown past 1<sup>st</sup> alternate i.e. Ahmedabad, Udaipur and north of Jaipur. Flight crew did not update themselves with the weather of en-route alternate or of Jaipur (VIJP), which was the 2<sup>nd</sup> alternate (Acceptable alternate).

Both flight crew knew that at the time of arrival in to VIDP, they can expect "TSRA". At 1015 UTC, IOCC had updated the flight crew with latest VIDP weather of 1000 UTC. The weather was winds 270/ 20 kts. gusting to 30 Kts; Visibility, R28/ 1300, R29/1000; SHRA SCT 030, Few 040 CB, OVC 080; Temp/ DP of 27/25; Q1000, TEMPO 280 20 gusting to 30 TSRA). This was around 01:12 mins before reaching overhead VIDP as per the OFP calculations.

When the aircraft commenced descent into VIDP, the flight crew observed weather overhead VIDP. Delhi ATC had advised the crew regarding delay and was advised to join hold. The flight crew continued holding and with 1001 kgs of additional fuel, they were aware that they can hold only for 30 mins approx. to mitigate the delay due weather activity overhead VIDP. While remaining in the hold, the flight crew burnt the extra fuel which was sufficient for diversion to VAAH. By doing so the flight crew took a risk and closed one of the safer options for themselves. The holding continued till they had adequate fuel (2914 kgs) for VILK as diversion.

While in the hold the PF briefed the PM that we will not consider Jaipur as an alternate. At this stage there was no discussion about the existing weather at Lucknow & Jaipur.

When flight crew advised ATC of "10 minutes for our diversion and our diversion is Lucknow", flight crew noted they had 3800 kgs. Thereafter Delhi ATC at 11:27 UTC advised that flight was 40 nms to touchdown and started radar vectors for ILS 29. PF in spite of knowing that the weather at VIDP was bad, elected to get vectored for the ILS approach knowing well that in all probability the approach was going to be unsuccessful, as he briefed that in case of a missed approach, will be left with 3200 kgs of "Fuel on Board".

After carrying out a "Missed Approach" due to changing winds, flight crew elected to divert to VILK and thereafter updated themselves with VILK & VIJP weather via ACARS:

VILK 1130 UTC 050/04 kts, 4000 meters visibility, Haze, Sct 020, Few 030 CB, BKN 100, 34/26, 996 NSG

# VIJP weather at 1130Z 25010KT 3000 HZ SCT030 SCT100 34/20 Q0998 NOSIG=

PIC was fixated to divert to VILK as the "preferred company alternate" was VILK and not VIJP. The PIC also in his statement mentioned that due to expected en-route weather to VILK, he had decided to divert at 3200 kgs instead of 3000 kgs (2914 Kgs). However after Go Around FOB was 3400 Kgs (3396 as per DFDR) at the time of diversion 3100 Kgs (3077Kgs as per DFDR). No suggestion was given by Company IOCC regarding diversion to Jaipur.

At this point it is pertinent to note that, had the flight crew updated themselves with Udaipur & Jaipur while en-route to Delhi, they would have known that Jaipur (2<sup>nd</sup> alternate as per CFP) weather was good. The flight crew also would have been aware of the en-route weather to Jaipur as their track to Delhi from Mumbai would made them fly north of the routing to Jaipur (Delhi-Jaipur), close enough to get a global picture of the en-route weather.

After commencement of diversion at 1155 UTC, the aircraft could not maintain its track toward ALI VOR due weather en-route and initially maintained heading of 170 degrees, then 180 degrees. The aircraft climbed to FL130 due to traffic. Delhi ATC advised the flight crew, "Any interest for Jaipur, Sir Jaipur is vacant". The flight crew did not take this hint given by ATC but continued diverting to VILK, en-route the aircraft had to deviate up to 40 nms South of track and post clearance from the Prohibited Area near Agra, it set course to VILK. At that point, after sequencing the FMS flight plan with the ILS approach at VILK, FOB on FMS prediction showed as 1600 Kgs.

Had the flight crew been aware of the situation and judged the en-route weather to Lucknow, they would have decided to divert to Jaipur which was still closer in terms of distance. In that case, they would have landed at Jaipur without an emergency situation related to fuel. The PF has not mentioned in his statement that ATC had suggested them for diversion to Jaipur. In spite of the aircraft flying north of Jaipur while en-route to Delhi & having Jaipur METAR which was supplied by the company Flight Dispatch indicated Jaipur visibility of 3 Kms with no significant weather.

As per the entries in CFP by the flight crew, following were the estimates & FOB at way points:

| Wayoint    | Estimate in UTC | FOB in KGs         |
|------------|-----------------|--------------------|
| TOC FL 270 | 1217            | 2060 Kgs (+60 kgs) |
| ALI        | 1224            | 1900               |
| PAVRU      | 1237            | 1500               |
| TOD        | 1239            | 1400               |
| LKN        | 1254            | 1000               |

As per the company CFP, had the aircraft maintained track & climbed to planned diversion level, flight crew should have declared "Minimum Fuel" after commencing diversion to Delhi ATC as per the requirements. However the flight crew declared "Minimum Fuel" only after discontinuing the approach at Lucknow indicating inappropriate understanding about when to declare minimum fuel.



Satellite picture of 1200 UTC

PIC decided to divert to VILK which was the "preferred company alternate" and not VIJP. The PIC also stated in his statement that due to expected enroute weather to VILK, he had decided to divert at 3200 kgs instead of 3000 kgs. due to expected weather. Also, no suggestion was given by company regarding diversion to VIJP.

Thereafter flight till Lucknow was uneventful except during descent they experienced heavy rain and severe turbulence. As the flight got established on the Localizer for runway 27 at VILK, (at 17 nms), the flight crew were advised by VILK Approach that the RVR was 475 meters. The RVR further reduced to 275 meters in heavy thunderstorm & rain as reported by VILK Tower. PF decided to discontinue approach and thereafter decided to commence diversion initially to Kanpur. Rather the flight crew had put themselves in such an unsafe situation that they were desperate to land anywhere. Kanpur was then changed to Prayagraj (VEAB) due to severe weather en-route to Kanpur. During climb towards Kanpur and then subsequently toward Prayagraj PF had clearly informed PM that they might not have adequate fuel to go to Prayagraj.

The aircraft neither had Kanpur (VICX) nor Prayagraj (VEAB) in the aircraft FMS navigation database for navigation purposes with automation (flight crew had to resort to basic raw data navigation using VOR & ADF). Nor did they have instrument let down charts for these airports available with them onboard as the operator had no operations to these airfields.

Once the aircraft set course towards VEAB, VILK weather was updated by ATC as 600 Meters, though it was within "Landing Minima" for the flight but PIC was reluctant to divert back suspecting more rain. The ATC controller again relayed to the flight that the visibility had improved to 800-1000 meters and **prompted** the flight crew to return back to Lucknow. This prompting helped PIC change his mind in returning back to VILK.

PIC decided to return back to VILK and carried out an ILS approach for runway 27 followed by a manual landing in the very high & demanding situation. Aircraft landed at 1318 UTC. FOB at touch down was 348 kgs.



Satellite picture of 1400 UTC

## 2.4 Role of Flight Dispatch/ Operations Control Centre:

As per the DGCA CAR, the operator is required to provide operations control and monitor the flights progress. Especially once the aircraft is airborne, the Flight Dispatcher is required to monitor the En-route, Destination and Alternate weather along with the satellite pictures. Based on above satellite pictures the Flight Dispatcher would have realized that en-route weather to Lucknow would have been severe and Jaipur would have been clear of any significant weather instead only relying on METAR's & TAF's. However no advice was given to the flight crew apart from updating them with latest Lucknow & Jaipur METARs inspite of having access to the latest Doppler Radar and Satellite pictures.

The Operators Flight Dispatch Manual Para 1.3.3 requires that the Flight Dispatcher should analyze weather & maintain a weather watch. He should support the PIC regarding Risk Tolerability with respect to safe conduct of each flight.

The investigation also reviewed in detail the FAA Part 121 & 135 - Operations Control Requirements vis-à-vis DGCA CAR on Operations Control Centre. The requirements as laid down in CAR do not suffice the purpose particularly in view of Total Flight Deck experience reducing day by day.

# 3 CONCLUSION

# 3.1 Findings

- The policy of giving in "Transit Briefing Sheet" 1<sup>st</sup> Alternate as Ahmedabad and Second as Lucknow and then expecting the flight to "Hold" over destination with the additional fuel which was meant for diversion to 1<sup>st</sup> Alternate (VAAH in this case) and thereby restricting the aircraft to fly to 2<sup>nd</sup> Alternate (VILK in this case) was unsafe and circumvented the laid down requirements.
- The Policy of leaving the choice of Alternate between Suitable & Acceptable Alternate puts subtle pressure on the PF to choose the company preferred alternate (Suitable in this case; VILK) in spite of having an Acceptable alternate (VIJP) close to VIDP which the flight crew should have had a better picture of, rather than choosing an alternate farther away in spite of knowing the en-route weather. <u>The Operator in general & PF in particular has tilted the balance towards "Commercial Requirements" rather than keeping "Safety" of its aircraft and occupants in mind.
  </u>
- There was no practical "Safe" Operations Control of monitoring flight progress and to suggest to flight crew in timely manner to divert to an airport where better en-route & airport weather conditions prevailed. The PF could have thereby discontinued to proceed to "Company Preferred/ Suitable Alternate" and avoid situation of actual fuel emergency.
- The investigation is of a strong opinion that had the aircraft continued to Kanpur or Prayagraj, there would have been a possibility of the aircraft running out of fuel en-route which would have led to a forced landing in the night time.
- The recommendations made in the investigation report into the causes of accident to Air India A320 aircraft (VT-ESH) released on 12<sup>th</sup> Aug 2016 are still not fully implemented by the DGCA.
- Fuel Below Minimums should have been taken as one of the Safety Performance Indicators (SPIs) by DGCA which could have avoided serious incidents of landing with fuel below minimums as the present.

While reviewing the information which was made available to the investigation, extensive use of ICAO Human Factor Checklist was made. The factors observed were Faulty Planning, Error in Judgment, Stress, Company Policies & Procedures, Company Operating Pressure, Situational Awareness, CRM, Weather and documentation. Further the investigation used the James Reasons Swiss Cheese model to categorise the findings and is discussed below.

#### (A) Organisational Factors

#### (i) DGCA

As per the Aircraft Act 1934, the Director General of Civil Aviation or any other officer specially empowered by the Central Government shall perform the safety oversight functions in respect of matters specified in the Act. It is responsible for regulation of air transport services to/from/within India and for enforcement of civil air regulations, air safety and airworthiness standards. In order to carry out the above duties and functions, DGCA issues Civil Aviation Requirements (CAR) under various sections and Section 8 deals with "Aircraft Operations". Under Series 'O' of Section 8 is issued a CAR on "Operation of Commercial Air Transport – Aeroplanes". Under Para 4.3.6.1 of this CAR are given fuel requirements which mention that an aeroplane shall carry a sufficient amount of usable fuel, to complete the planned flight safely and to allow for deviations from the planned operation. The said CAR available on DGCA website was issued on 8<sup>th</sup> July, 2011 and the last revision was on 30<sup>th</sup> October 2018.

The requirements laid down in the CAR have been discussed in the analysis of earlier investigations and as brought out, the operator has in their Manuals mentioned that the above said CAR will be followed. However, in the subsequent paragraphs of these Manuals on fuel planning, the gaps/ ambiguity in the CAR was utilized for taking lesser fuel on board than intended by the CAR.

DGCA had issued an ASC 3 of 2019, on fuel planning for the flights. The circular though does not have any legal binding on the operators. The circular identifies that an incident occurred because, fuel carried on-board did not

cater for second alternate. During flight, crew while deciding the alternate for diversion have not considered the nearest safe landing airport; crew did not declare MINIMUM FUEL to ATC; and after crew has declared a MINIMUM FUEL, ATC has given additional clearances resulting in further depletion of fuel.

However, there is no mention of what should be done and how that action will obviate the occurrences in future. Otherwise also, it is agreed that there have been number of such occurrences. Investigation could not find if any concrete actions were suggested by DGCA under SSP (It qualifies for one of the top most Safety Performance Indicators) or mitigation actions taken by the operator under SMS.

Be that as it may, the CAR on the subject should have been revisited by now and root cause of the non-following of the provisos of CAR be fixed by clarifying the ambiguous portion. In addition, the existing reasons which have been brought out as a result of reactive (investigation) process, should have been detected during the surveillance inspections carried out by the Flight Operations Inspectors or during scrutiny of the Manuals and SOPs of the operator.

#### (ii) Operator

- Putting subtle pressure on flight crew to divert only to "Suitable Alternates" which was company preferred due to availability of ground handling.
- The "Transit Briefing" sheet showed 1<sup>st</sup> Alternate as Ahmedabad & 2<sup>nd</sup> Alternate as Lucknow. However the CFP had Lucknow as the 1<sup>st</sup> Alternate & Jaipur as the 2<sup>nd</sup> Alternate. Hence the company & PIC both knew that in case of actual diversion, aircraft would divert to Lucknow which was the company preferred alternate and not Jaipur or Ahmedabad.
- Not providing any guidance to flight crew, while they were negotiating weather which was not in line with the company Flight Dispatch manual.
- Lesser fuel was uplifted than that required as per CAR, by planning Ahmedabad as the 1<sup>st</sup> Alternate & Lucknow as the 2<sup>nd</sup> Alternate.

# (B) Unsafe Supervision

- Ambiguous instructions issued to the flight crew on fuel uplift and aerodrome alternates were not captured by the DGCA (FSD office) during audits/ oversight.
- Inadequate Flight Following by IOCC of the operator.

# (C) **Pre-Conditions to the Unsafe Act**

- Pre-conceived notion in the mind of flight crew to divert to Suitable Alternate (because of conscious ambiguity in definition that in case the "situation demands PF is authorized to divert" to an "Acceptable Airport"). There is a tacit indication that efforts to be made by flight crew to divert to Suitable Airport
- Not mitigating the risks associated with non-compliance of requirement of latest weather (basic norm)

# (D) Unsafe Act

- Organisation (IOCC) not suggesting the flight crew on the safer option of diverting to Jaipur.
- Flight crew not updating themselves with en route weather including observing the en-route weather to Jaipur.
- PF having a fixed mind set to divert to Lucknow in line with company instructions and not seeing the big picture.

# 3.2 Probable Cause

The aircraft went into an unsafe situation of fuel emergency as PIC has not given due consideration to the depleting fuel on board and getting fixated on diversion to Lucknow in severe enroute weather requiring additional diversion from track. This loss of situational awareness and fixation on diversion to Lucknow (company preferred alternate) was result of failure on part of:

- Operators Flight Ops management to provide clear cut guidance to flight crew regarding diversion.
- IOCC to update and provide guidance to flight crew regarding en-route weather and possible diversion to Jaipur.
- PF to ensure that the alternate as per Transit Briefing Sheet & CFP are the same.

- Flight crew to update themselves with latest Jaipur weather while en-route to Delhi.
- Flight crew to divert to Jaipur in spite of facing severe weather en-route to Lucknow.
- PF (during discussions with PM) to be assertive enough to divert to Jaipur.

Further Non-assertive behavior on part of the PM and letting the PF continue with diversion to VILK.

#### 4 **RECOMMENDATIONS**

#### **All Scheduled Operators**

- 1. The Integrated Operations Control must be headed by a "Current type rated pilot" who has the experience in the area of operations covered by the operator for providing relevant inputs while making a decision, keeping the safety of aircraft & its occupants in mind. For operators, who operate multiple type of aircraft, the aircraft which covers the maximum area of operations must be the Head of Operations Control Centre/ IOCC.
- 2 IOCC/ Operations Controller/ Flight Dispatchers must make pro-active decision well in advance to assist the flight crew in diverting flights which are en-route to destination airports with moderate/ severe weather activity instead of aircraft holding over destination till Minimum Diversion Fuel (MDF) and then diverting to alternate. This results in flight crew declaring "Minimum Fuel" (as per ICAO) and putting undue pressure on themselves & ATCO's.
- 3 PF(s) must divert to the airport which has been accepted on the Transit Briefing sheet as this alternate has been suggested by the Flight Dispatch keeping the latest weather conditions, NOTAMS etc. in mind at planning stage. If required the "Repetitive Flight Plan" (RFP) alternate must be revised by the company Flight Dispatch in such a case. (PIC has the final authority for keeping the safety of aircraft and passengers in mind)
- 4 "Current & Rated Pilot" should be available in IOCC/ Flight Dispatch for "Operations Control" duty to assist the operating flight crew & flight dispatchers make a decision keeping the safety of aircraft & passengers in mind. "Hold over destination till MDF" advice by IOCC/ Operations Controller/

Flight Dispatcher must be suggested only when the weather at the alternate airport meets VFR requirements as defined in DGCA CAR. However PF has the final authority to hold or divert.

- 5 Must ensure that during the period of inclement weather IOCC/ Flight aircraft Dispatch contacts the by the best available means (VHF/HF/ACARS/SATCOM) and relay (positive) latest weather for Destination & Alternate. Operations Controller must give advice regarding weather trends and where the airplane should divert to, after reviewing the en-route weather as per the latest satellite picture & airfield METAR etc. in consultation with the Pilot on duty in IOCC/ OCC. Every airline must have a qualified Met Officer on duty (24 x 7) to assist the flight crew and dispatcher. However the final decision remains with the PIC of the flight.
- 6 Flight Dispatch & operating flight must ensure that the alternates filed in briefing sheets & OFP are the same. Fuel must be planned for the longest alternate and additional holding fuel over the destination must be carried if there is inclement weather.
- 7 Flight crew must be vigilant about weather conditions at Destination, En-Route & Alternate by seeking weather updates in flight from IOCC, ATIS or ATC to aid their decision. They must use the Flight Dispatch for this purpose actively. Airlines must not discourage flight crew for seeking weather update via ACARS.
- 8 Company must ensure that "Fuel Policy" is in line with the DGCA requirements and based on the statistical data for the last 15 days for the said flight number. This must include fuel for ATC delays, congestion at destination & alternate airports, change of routing etc. in the Company Flight Plan (OFP). Further in case the TAF indicates that at the time of arrival, moderate to severe weather is expected (Example: Moderate or Severe Thunderstorm, Fog with visibility less than 800 meters, Winds greater than 20 kts associated with Thunderstorm, Rain showers with visibility predicted to be less than 1500 meters), then fuel must be given on the OFP to hold over destination. This fuel will be over and above the normal fuel requirement.
- 9 Operators must educate the Operating flight crew & Flight Dispatchers that "Suitable Alternate" (Company preferred Alternates) are for the purpose of fuel planning, filing alternates for the ATC Flight Plan/ convenience of

company but in case the situation demands, flight crew may be diverted to "Acceptable Airports" without the fear of being reprimanded.

- 10 Flight crew should be educated on when to exercise the "Emergency Authority of PIC". Periodic training on various scenarios may be planned.
- 11 Airline must educate flight crew when to declare "Minimum Fuel & Fuel Emergency". Periodic training on such scenarios should be planned.
- 12 Flight crew may be trained to carry out "Auto-Land" at airports equipped with reliable ILS (after proper risk mitigation) during high stress situation and monitor the aircraft till landing thereby reducing their workload & stress.
- 13 All Airlines must have all airports within India included in their Navigational database where their category of aircraft can land in case of an emergency. In addition Airlines must also provide Instrument Approach Charts for all Indian airports, which can be used in an emergency for their category of aircraft.
- 14 The Operators flight dispatch must provide a list of airports mentioning the watch hours of all the airports in India and enroute.

### DGCA

- 15 DGCA must investigate all cases of "Fuel Emergency" & "Landing below Fuel minima" in a pro-active manner and share learning with all operators.
- 16 DGCA must define and elaborate the safety purposes / requirement for "Operations Control".
- 17 DGCA in their "Regulatory or Main Base Audit" must ensure practical compliance of above recommendations in operations.
- 18 The person carrying out Flight Dispatchers duties must be holding a "Licence" to carry out his duties and their licence must clearly indicate the type of aircraft which they are qualified for, validity etc.
- 19 DGCA must revise the "All Weather Operations Requirements" (CAR Series C, Part 1) to mention that the "Designated Alternate Weather" must be equal to or better than the values given in the said CAR for "Take-off, En-route and Destination Alternate", for a period of 01 hour before the expected time of arrival & 01 hour after the expected time of arrival to ensure safe operations. However at the time of actual approach & landing, the normal landing minima would apply.

(The above restriction must continue till such time IMD is able to provide accurate "TAFORS" for at least 3 years continuously, there after DGCA may carry out a "data driven Risk Assessment" to revise the weather requirements at alternate, however aircraft must be assured a safe landing in case it is unable to land at its destination airport.

AAI:

20 The ATC Controller who suggested and coaxed the subject flight to return to Lucknow thereby avoiding an aircraft going out of fuel while in flight should be duly appreciated.

# IMD:

- 21 All the remaining recommendations regarding IMD given in the investigation report of accident to VT-ESH at Jaipur on 5.1.2014 should be implemented.
- 22 Further the accuracy of TAFOR needs to be improved which is directly effecting the safety of operations of aircraft on daily basis as the airlines are using this information for planning their alternates and in actual conditions.

Rajich Meni

(R. S. Passi) Investigator - In - Charge

(Capt. Dhruv Rebbapragada) Investigator

(Shilpy Satiya) Investigator

Place : New Delhi Date : 29.10.2020